

JK SECURITIES PRIVATE LIMITED

Opp. Peoples Bank Park Sardar Gunj, Anand – 388001
Phone No. – 02692-225040/41/37/36

ONLINE TRADING CLIENT REGISTRATION FORM

Respected Sir,

I would like to do trading through Online trading facility provided by you. I furnished here below required details.

TRADING ID		DEMAT ID	
CLIENT NAME			
POA REGISTERED	YES _____ NO _____		

NSE CASH	NSE F & O	BSE CASH	MCX	NCDEX	MFSS

Holder	Mobile No.	Relationship with the person whose Mobile number provided (Tick ✓ wherever applicable)	Email ID	Relationship with the person whose Email provided (Tick ✓ wherever applicable)
1 st		<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependents children <input type="checkbox"/> Dependent parents <input type="checkbox"/> I do not have Mobile Number		<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependents children <input type="checkbox"/> Dependent parents <input type="checkbox"/> I do not have Email id
2 nd		<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependents children <input type="checkbox"/> Dependent parents <input type="checkbox"/> I do not have Mobile Number		<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependents children <input type="checkbox"/> Dependent parents <input type="checkbox"/> I do not have Email id
3 rd		<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependents children <input type="checkbox"/> Dependent parents <input type="checkbox"/> I do not have Mobile Number		<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependents children <input type="checkbox"/> Dependent parents <input type="checkbox"/> I do not have Email id

1st Holder Signature

2nd Holder Signature

3rd Holder Signature

Received by	Entered by	Authorised by

AUTHORIZATION LETTER FOR ELECTRONIC COMMUNICATION (DEMAT)

VOLUNTARY

Name _____

Date

D	D	M	M	Y	Y	Y	Y
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Demat A/c No. _____

Address _____

To,

JK Securities Private Limited

Opp. People's Bank Park, Sardargunj Road, Anand 388 001

T : +91 2692 225037/38 F : +91 2692 240383

Dear Sir

Sub : Mandate to issue Holding & Transaction statement, Bills and Ledger in electronic/digital form.

I/ We hereby opt for receipt of Holding & Transaction statement, Bills and Ledger in electronic/digital format as well as any other notices also in electronically.

For the above purpose please take my following e-mail ID on your record for sending the Holding & Transaction statement, Bills and Ledger and other communication to me/us.

e-mail id :

I/ We agree not to hold you responsible for late/non receipt of Holding & Transaction statement, Bills and Ledger send in electronic form, for any reason including not limited to failure of email servers, loss of connectivity, email in transit etc. I/We agree that the log reports of your dispatching software shall be conclusive proof of dispatch of Holding & Transaction statement, Bills and Ledger and any other electronic communication to me/us.

I/ We here by accept to the terms and condition as mentioned herein below:

- I/We am/are aware that I / We will not receive the transaction statements in paper form.
- I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- I/We am/are aware that the transaction statement may be accessed by other entities in case the confidentiality/ secrecy of the login name and password is compromised.
- In case transaction statements are sent by email, I/We shall immediately inform the DP about change in email address, if any
- In case, the DP is not able to provide transaction statement to its Clients by email or on website due to any reason (including bounced emails), the DP should ensure that the transaction statement is provided to the me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of NSDL.
- I/We am/are desirous of receiving transaction statements in paper form, DPs shall be duty bound to provide the same.

DP and Client(s) shall have the right to terminate such service provided a written notice is given at least 10 days in advance to the other party.

I/We undertake to check the Holding & Transaction statement, Bills and Ledger in digital form. My/Our non verification or not accessing the statement's or bills on regular basis shall not be a reason for disputing at any time. I/We further agree to indemnify you and keep you indemnify against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my/ our direction given above.

Thanking You

Yours faithfully,

<div>17</div> <div>Signature of First/Sole Applicant/Guardian</div>	<div>11</div> <div>Signature of Second Applicant</div>	<div>11</div> <div>Signature of Third Applicant</div>
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**AUTHORIZATION LETTER
FOR ELECTRONIC COMMUNICATION (TRADING)**

VOLUNTARY

Date

D	D	M	M	Y	Y	Y	Y
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Name : _____

Address : _____

Client ID :

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To,
M/S JK Securities Private Limited
Opp. People's Bank Park, Sardargunj Rd., Anand

Dear Sir,

Sub. : Mandate to issue contracts in electronic/digital format

I hereby opt for receipt of contract notes in electronic/digital format as well as all other communications including but not limited to account statement, holding statement, trade order confirmations and any other notices also in electronically.

For the above purpose please take my following e-mail ID on your record for sending the contract notes and other communication to me.

E-mail ID :

I agree that non receipt of bounced mail notification by you shall amount to delivery at my email ID. I further agree to indemnify you and keep you indemnify against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my direction given above.

I hereby accept to the terms and conditions as mentioned herein below

1. The digital contract notes will be issued in digital form in compliance with the guidelines issued by SEBI/ Exchange from time to time
2. Digital contract notes will be mailed to e-mail address provided to us in the format as may be prescribed by the exchange from time to time.
3. Digital contract notes will also be available at URL www.jksecurities.com
4. Client can view the digital contract notes on URL by using the user name & password
5. In case of any failure in system or errors in digital contract notes, contract notes will be issued in physical form which shall be binding on the client.

I hereby undertake to check the contract notes and bring discrepancies to your notice preferably within 24 hours of receipt of such contract notes. My non verification or not accessing the contract notes on regular basis shall not be the reason for disputing the contract notes any time.

Thank you

Yours faithfully

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Signature of the Applicant

Trading Facility Provided by Stock Brokers to Clients**(All the clauses mentioned in the 'Rights And Obligations' document(s)****shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.)**

1. Stock broker is eligible for providing Internet based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.

2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/SEBI.

3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.

4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/SEBI.

5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of the stock broker

6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/ unauthorized access through his username/password/account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.

7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/password in any manner whatsoever.

8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/ trade confirmation is also provided on the web portal. In case client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.

9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.

10. The Client shall not have any claim against the Exchange or the Stock broker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or non-execution of his orders due to any link/system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stock broker/Exchanges.