

## FATCA Foreign Account Tax Compliance Act-Individual

### FATCA Declaration Form for Individual Applicants/Investors

Annexure to Common Application Form No. : \_\_\_\_\_ for :

☐ First/Sole Applicant ☐ Second Applicant ☐ Third Applicant ☐ Guardian ☐ Power of Attorney Holder

The below information is required for all applicant(s), guardian(s), Power of Attorney. Please tick above box as applicable and submit a separate form(s) for each category

FATCA-CRS Details For Individuals & HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA-CRS Annexure

The below information is required for all applicant(s)/guardian/PoA holder

Category	First Applicant/Guardian	Second Applicant	Third Applicant
1. Full Name of Applicant			
2. Demat & Trading ID			
3. Gender (Please tick whichever is applicable)	<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="checkbox"/> Male <input type="checkbox"/> Female
4. PAN Number			
5. Are you a Tax Resident of country other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
6. Is your Country of Birth/ Citizenship other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
7. Is your Residence Address/ Mailing Address/ Telephone No. other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
8. Is the PoA Holder/person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If you have answered YES to any of above, please provide the below details			
Country of Tax Residence			
Tax Identification Number			
Residence Address for Tax purposes (include City, State, Country & Pin Code)			
Address Type	<input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
City of Birth			
Country of Birth			

In case any of applicant being Resident/Tax Payer in more than one country, provide Tax Identification Number for each country separately

## FATCA Foreign Account Tax Compliance Act - Individual DECLARATION

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my knowledge & belief. I/We further agree not to hold JK Securities Private Limited, its Directors, their Employees, Authorised Persons & Sub-Brokers liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in any case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorize JK Securities Private Limited to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us, to any Indian or Foreign Governmental or Statutory or Judicial Authorities/Agencies. the Tax/revenue Authorities, other Investigation Agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Certification : I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions), stated in pages and hereby certify that the information provided by me/us on this Form is true, correct and complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms & Conditions and hereby accept the same.

I/We agree to indemnify JK Securities Private Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. Person" status for U.S. Federal Income Tax purposes, or in respect of any other information as may be required under applicable Tax Laws.

Name of First/Sole Applicant/Guardian	Name of Second Applicant	Name of Third Applicant
12	08	08
Signature of First/Sole Applicant/Guardian	Signature of Second Applicant	Signature of Third Applicant

Date 

D	D	M	M	Y	Y	Y	Y
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Place \_\_\_\_\_

### FATCA Instructions

#### Details under FATCA-CRS/Foreign Tax Laws

Towards compliance with Tax Information Sharing Laws, such as FATCA and CRS, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. **Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.** Towards compliance with such laws, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

**If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.** Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

**It is mandatory to supply a TIN or functional equivalent in the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.**