

**Policy on providing the facility of voluntary freezing/blocking the online access of the trading account to clients**

**Background:**

SEBI vide Circular no. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 and Exchange Circular no. NSE/INSP/60277 dated January 16, 2024 has issued circular on Facility of voluntary freezing/ blocking of Trading Accounts by Clients”.

As per the said circular trading members are supposed to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients on account of suspicious activities.

**Purpose :**

The purpose of this Policy is to set out the process to achieve the objective as mentioned above. Major parameters of this Policy are mentioned below :

**Mode of intimation by client for freezing/ blocking trading account**

The client willing to freeze/block the on-line access of trading account on account of observance of any suspicious activity may request for voluntary freezing/ blocking the online access of trading account through following mode

- Email from registered e-mail ID to JK's registered email id: **stoptrade@jksecurities.com**
- SMS from registered mobile number to JK's registered mobile no: **9687658917**
- Trading Member's App

The request so received will be verified with our record and an acknowledgement will be issued to client post validation

The online access of the trading account given to client will be freezed/blocked as also will cancel all the pending orders of the said client.

The timelines for freezing/ blocking of the online access of the clients' trading account is as under:

Scenario	Timelines for issuing acknowledgement as well as freezing / blocking of the online access of the trading account.
Request received during the trading hours and within 15 minutes before the start of trading.	Within 15 minutes
Request received after the trading hours and 15 minutes before the start of trading.	Before the start of next trading session

**Trading hours shall be as follows:**

Capital Market Segment: 9.15 a.m. to 3.30 p.m., Equity Derivatives Segment: 9.15 a.m. to 3.30 p.m.,  
Currency Derivatives Segment: 09.00 a.m. to 05.00 p.m., Commodity Derivatives Segment: 09.00  
a.m. to 11:30 p.m. To begin with,

the time limit of 15 minutes is being specified for the purpose of issuing acknowledgement as well  
as freezing/blocking of the online access of the trading account.

**Communication post freezing/blocking on-line access of trading account**

A communication will be sent on the registered mobile number and registered e-mail ID of the  
client, stating that the online access to the trading account has been frozen/blocked and all the  
pending orders in the trading account, if any, have been cancelled.

Details of open positions (if any) will also be communicated to the client along with contract expiry  
information within one hour from the freezing/blocking of the trading account.

**Record Maintenance**

Following records/log w.r.t. request received to freeze/block the online access of trading account  
be preserved for future use

confirmation given for freezing/blocking of the online access of the trading account and  
cancellation of pending orders, if any, sent to the clients.

**Process for re-enabling the client for online access of the trading account: -**

Submission of duly signed application for online access re-enablement

Request for re-enablement through registered email id

Re-enablement of online access be done after carrying out necessary due diligence including  
validating the client request

**It is clarified that:**

- a. Freezing/blocking is only for the online access to the client's trading account, and there shall be  
no restrictions on the Risk Management activities of the Trading Member.
- b. The request for freezing/ blocking does not constitute request for marking client Unique Client  
Code (UCC) as inactive in the Exchange records.



Registered Office

Opp. People's Bank Park, Sardargunj Road, Anand 388 001 (Guj) INDIA.  
Compliance Officer : +91 99789 14266, +91 2692 225005 info@jksecurities.com

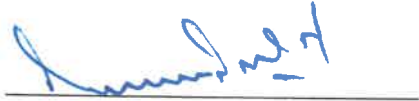
jksecurities.com

**Effective Date**

This policy is effective from 01<sup>st</sup> July, 2024.

**13 Review and update**

This policy shall be reviewed and updated on an annual basis or as and when there are any changes introduced by any statutory authority.



**PRANAV PATEL**  
**MANAGING DIRECTOR**

