

FORM 11

ACCOUNT OPENING FORM

(FOR NON-INDIVIDUALS)

Participant Name (DP ID ____)		Client –ID	
Address (Pre-printed)		(To be filled by Participant)	
We request you to open a depository account in our name as per the following details: <i>(Please fill all the details in CAPITAL LETTERS only)</i>		Date	D D M M Y Y Y Y
A)	Details of Account holder(s):		
		Name	PAN
	Sole/ First Holder		
	Second Holder		
	Third Holder		
B)	Type of account		
	☐ Body Corporate	☐ FI	☐ FII
	☐ Qualified Foreign Investor	☐ Mutual Fund	☐ Trust
	☐ Bank	☐ CM	☐ HUF
	☐ Other (Please specify) AoP for holding the permitted Securities		
C)	For Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of the partner(s), trustee(es) etc., the name & PAN of the Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below:		
	c) Name	d) PAN	
D)	Income Details (please specify)		
	Income Range per annum	and	Networth
	☐ Below 1 Lac		Amount (₹) _____
	☐ 1-5 Lac		As on (date) D D M M Y Y Y Y
	☐ 5-10 Lacs		(Networth should not be older than 1 year)
	☐ 10-25 Lacs		
	☐ 25 Lacs - 1 Crore		
	☐ More than 1 Crore		
E)	In case of FIIs/Others (as may be applicable)		
	RBI Approval Reference Number		
	RBI Approval date	ren	D D M M Y Y Y Y
	SEBI Registration Number (for FIIs)		

F)	Bank details											
	1	Bank account type ☐ Savings Account ☐ Current Account Others (☐ specify) _____										
	2	Bank Account Number										
	3	Bank Name										
	4	Branch Address _____ _____										
		City/town/ village			PIN Code							

		State			Country							
	5	MICR Code										
	6	IFSC										

G)	Please tick, if applicable, for any of your authorized signatories/Promoters/Partners/Karta/Trustees/whole time directors:				☐ Politically Exposed Person (PEP)							
					☐ Related to a Politically Exposed Person (PEP)							

H)	Clearing Member Details (to be filled up by Clearing Members only)											
	1	Name of Stock Exchange										
	2	Name of Clearing Corporation/ Clearing House										
	3	Clearing Member ID										
	4	SEBI Registration Number										
	5	Trade Name										
	6	CM-BP-ID (to be filled up by Participant)										

I)	Standing Instructions										
	1	We authorise you to receive credits automatically into our account.								☐ Yes ☐ No	
	2	Account to be operated through Power of Attorney (PoA)								☐ Yes ☐ No	
	3	Account to be operated through Demat Debit and Pledge Instruction (DDPI)								☐ Yes ☐ No	
	4	SMS Alert facility									
		Sr. No.		Holder				Yes		No	
		1		Sole/First Holder				☐		☐	
		2		Second Holder				☐		☐	
	3		Third Holder				☐		☐		
	5	Mode of receiving Statement of Account [Tick any one]				☐ Physical Form					
☐ Electronic Form [Read Note 3 and ensure that email ID is provided in KYC Application Form].											



JK SECURITIES PRIVATE LIMITED

Opp. Peoples Bank Park Sardar Gunj, Anand – 388001

Phone No. – 02692-225040/41/37/36

Website : www.jksecurities.com Email: info@jksecurities.com

CIN – U27204GJ1995PTC025974 **DP ID - IN300636**

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it. I/we acknowledge the receipt of copy of the document, “Rights and Obligations of the Beneficial Owner and Depository Participant”.

(Additional declaration applicable only for AoPs for opening of demat accounts in the name of Association of Persons (AoP) itself for holding the permitted Securities)

1. I/we confirm that the AoP holds only such securities in dematerialized form as permitted by the statutes governing its constitution.
2. I/we confirm that the demat account is not be used for subscribing / holding other than the permitted securities.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories. In case of HUF details of Karta to be given)

Sole/First Holder	Name	Signature(s)
First Signatory/Karta of HUF		X
Second Signatory		X
Third Signatory		X
<u>Other Holders</u>		
Second Holder		X
Third Holder		X

Mode of Operation for Sole/First Holder (In case of joint holdings, all the holders must sign. In case of HUF this is not applicable)

☐ Any one singly	
☐ Jointly by	
☐ As per resolution	
☐ Others (please specify)	

Notes:

1. In case of additional signatures, separate annexures should be attached to the application form.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule

n of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.

3. For receiving Statement of Account in electronic form:

- I. Client must ensure the confidentiality of the password of the email account.
- II. Client must promptly inform the Participant if the email address has changed.
- III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

4. Strike off whichever is not applicable.

=====

Acknowledgement

Participant Name, Address & DP ID

Received the application from M/s _____ as the sole/first holder alongwith _____ and _____ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you (CM-BP-ID in case of Clearing Members) in all your future correspondence.

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Participant Stamp & Signature

OTHER DETAILS						
H. Trading Preferences						
Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.						
Exchanges	NSE, BSE & MSEI					MCX, NCDEX, BSE & NSE
All Segments	Cash / Mutual Fund	SLBM	F&O	Currency	Debt	Commodity Derivatives
If you do not wish to trade in any of segments / Mutual Fund, please mention here						
I. Past Actions						
Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:						
J. Guardian Details (where sole holder is a minor)						
For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by the guardian)						
Guardian Name						
PAN						
Relationship of Guardian with mi						