



JK SECURITIES PRIVATE LIMITED

Opp. Peoples Bank Park Sardar Gunj, Anand – 388001

Phone No. – 02692-225040/41/37/36

Website : www.jksecurities.com Email: info@jksecurities.com

CIN – U27204GJ1995PTC025974 **DP ID - IN300636**

FORM 9

ACCOUNT OPENING FORM (FOR INDIVIDUALS)

Participant Name (DP ID _____) Address (Pre-printed)					Client –ID (To be filled by Participant)														
I/We request you to open a depository account in my/our name as per the following details: <i>(Please fill all the details in CAPITAL LETTERS only)</i>										Date		D	D	M	M	Y	Y	Y	Y
A) Details of Account holder(s):																			
Account holder(s)		Sole/ First Holder					Second Holder					Third Holder							
Name																			
PAN																			
Occupation <i>(please tick any one and give brief details)</i>		☐ Private Sector		☐ Agriculturist			☐ Private Sector		☐ Agriculturist			☐ Private Sector		☐ Agriculturist					
		☐ Public Sector		☐ Retired			☐ Public Sector		☐ Retired			☐ Public Sector		☐ Retired					
		☐ Government Service		☐ Housewife			☐ Government Service		☐ Housewife			☐ Government Service		☐ Housewife					
		☐ Business		☐ Student			☐ Business		☐ Student			☐ Business		☐ Student					
		☐ Professional		☐ Others (Please specify; _____)			☐ Professional		☐ Others (Please specify; _____)			☐ Professional		☐ Others (Please specify; _____)					
Brief details:																			
B) For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:																			
a) Name							b) PAN												
C) Type of account																			
☐ Ordinary Resident		☐ NRI-Repatriable					☐ NRI-Non Repatriable												
☐ Qualified Foreign Investor		☐ Foreign National					☐ Promoter												
☐ Margin		☐ Others (Please specify) _____																	
D) Gross Annual Income Details																			
Income Range per annum (please tick any one)																			
☐ Below ` 1 lac		☐ ` 1- 5 lac					☐ ` 5- 10 lac												
☐ ` 10- 25 lac		☐ More than ` 25 lac																	
E) In case of NRIs/ Foreign Nationals																			
RBI Approval Reference Number																			
RBI Approval date										D	D	M	M	Y	Y	Y	Y		
F) Bank details																			
1	Bank account type ☐ Savings Account ☐ Current Account ☐ Others (Please specify) _____																		
2	Bank Account Number _____																		
3	Bank Name _____																		

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	4	Branch Address										
			City/town/village				PIN Code					
			State				Country					
	5	MICR Code										
	6	IFSC										
G) Please tick, if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)												
H) Standing Instructions												
	1	I/We authorise you to receive credits automatically into my/our account.							☐ Yes ☐ No			
	2	Account to be operated through Power of Attorney (PoA)							☐ Yes ☐ No			
	3	Account to be operated through Demat Debit and Pledge Instruction (DDPI)							☐ Yes ☐ No			
	4	SMS Alert facility: <i>[Mandatory if you are giving Power of Attorney (PoA/DDPI). Ensure that the mobile number is provided in the KYC Application Form]</i>										
		Sr. No.	Holder					Yes	No			
		1	Sole/First Holder					☐	☐			
		2	Second Holder					☐	☐			
		3	Third Holder					☐	☐			
	5	Mode of receiving Statement of Account [Tick any one]		☐ Physical Form ☐ Electronic Form <i>[Read Note 3 and ensure that email ID is provided in KYC Application Form].</i>								
	6	For Joint accounts, communication to be sent to (See Note 5)		☐ First holder ☐ All joint account holders								
7. ☐ Standing Instruction Indicator for Auto Pledge Confirmation The Pledger /pledgee hereby undertake as follows: a) The pledger and pledgee undertake to abide with the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and bye-laws in force from time to time, as may be applicable. b) The pledgee undertakes to provide reasonable notice to the pledger and comply with the requirements of Section 176 and 177 of the Indian Contract Act, 1872												
8. I/We do not wish to opt for the BSDA Account ☐ (reason)												
I) Guardian Details (where sole holder is a minor): [For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]												

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	Guardian Name												
	PAN												
	Relationship of guardian with minor												
J)	Nomination Option												
	☐ I/We wish to make a nomination. [Details are provided in Nomination Form as prescribed by SEBI]						☐ I/We wish to opt out of a nomination. [Declaration Form opting out of nomination as prescribed by SEBI]						
K	Mode of Operations for Joint Accounts												
	☐ Jointly ☐ Anyone of the holder or survivor(s)												
	If Mode of Operation for Joint Account is chosen as anyone of the holder or survivor(s), only specified operations such as transfer of securities including Inter-Depository Transfer, pledge / hypothecation / margin pledge / margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and / or securities and / or specific number of securities will be permitted.												

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, “Rights and Obligations of the Beneficial Owner and Depository Participant”.

Name(s) of holder(s)		Signature(s) of holder
Sole/ First Holder/ Guardian (in case sole holder is minor) (Mr./Ms.)		X
Second Holder (Mr./Ms.)		X
Third Holder (Mr./Ms.)		X

Notes :

- All communication shall be sent at the address of the Sole/First holder only.
- Thumb impressions must be attested by witness or a Magistrate or a Notary Public or a Special Executive Magistrate.
- Signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- The nomination and Declaration form may be signed using e-Sign facility or wet signature and in these cases, witness will not be required.
- For receiving Statement of Account in electronic form:
 - Client must ensure the confidentiality of the password of the email account.
 - Client must promptly inform the Participant if the email address has changed.
 - Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- In case of joint account, on death of any of the joint account holders, the surviving account holder(s) has to inform Participant about the death of account holder(s) with required documents within one year of the date of demise.



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7. In case if 'first holder' is selected, the communication will be sent as per the preference mentioned at Sr. No. 4. In case 'All joint account holders' is opted, communication to first holder will be sent as per the preference mentioned at Sr. No. 4 and communication to other holders will be in electronic mode. The default option will be communication to 'first holder', if no option selected.
8. Strike off whichever is not applicable.

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Acknowledgement

Participant Name, Address & DP ID

Received the application from Mr/Ms__ as the sole/first holder alongwith _____ and __ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date:

D	D	M	M	Y	Y	Y	Y
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Participant Stamp & Signature

OTHER DETAILS						
H. Trading Preferences						
Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you						
Exchanges	NSE, BSE & MSEI					MCX, NCDEX, BSE & NSE
All Segments	Cash / Mutual Fund	SLBM	F&O	Currency	Debt	Commodity Derivatives
If you do not wish to trade in any of segments / Mutual Fund, please mention here.....						
I. Past Actions						
Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:						
J. Guardian Details (where sole holder is a minor)						
For account of a minor, two KYC Application Forms must be filled i.e . one for the guardian and another for the minor (to be signed by the guardian)						
Guardian Name						
PAN						
Relationship of Guardian with mi						

* If Mode of Operation for Joint Account is chosen as anyone of the holder or survivor(s), only specified operations such as transfer of securities including Inter-Depository Transfer, pledge / hypothecation / margin pledge /margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and / or securities and / or specific number of securities will be permitted.

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, <Rights and Obligations of the Beneficial Owner and Depository Participant=.

Name(s) of holder(s)	Signature(s) of holder
Sole/ First Holder/ Guardian (in case sole holder is minor) (Mr./Ms.)	X
Second Holder (Mr./Ms.)	X
Third Holder (Mr./Ms.)	X

*** BSDA Consent Mode :-**

- Email (From registered email address)
- Physical form
- Online/Mobile App (I.e., investor-facing digital channels)

Notes :-

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions must be attested by witness or a Magistrate or a Notary Public or a Special Executive Magistrate.
3. Signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
4. The nomination and Declaration form may be signed using e-Sign facility or wet signature and in these cases, witness will not be required.
5. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.

Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

6. In case of joint account, on death of any of the joint account holders, the surviving account holder(s) has to inform Participant about the death of account holder(s) with required documents ~~for deletion of name of the deceased account holder(s) in the demat account within one year of the date of demise.~~
7. In case if 8first holder9 is selected, the communication will be sent as per the preference mentioned at Sr. No. 5. In case 8All joint account holders9 is opted, communication to first holder will be sent as per the preference mentioned at Sr. No. 5 and communication to other holders will be in electronic mode. The default option will be communication to 8first holder9, if no option selected.

~~7.8.~~ In case of joint account, the option to select 8Choice of Nomination9 i.e. I/We wish to make a nomination or

~~8.9.~~ Strike off whichever is not applicable.

I/We wish to opt out of a nomination is not mandatory.