- Details of Investor Complaints as per the SEBI Circular No. SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated $02^{\text {nd }}$ December, 2020 for the period of May, 2021 to Mar, 2024

Investor Complaints Data - Stock Brokers
Data for Month ending on Mar, 2024

| S N | Received <br> from | Carried <br> forward <br> from <br> previous <br> month | Received <br> during <br> the <br> month | Total <br> pending | Resolved* | Pending at the <br> end of the <br> month** | Average <br> Resoluti <br> on <br> time^ <br> (in <br> days) |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  | Pendin <br> g for <br> less <br> than 3 <br> month <br> Pendin <br> g for <br> more <br> than 3 <br> month <br> s |  |
| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ | $\mathbf{7}$ |  |
| 1 | Directly <br> from <br> Investors | 0 | 0 | 0 | 0 | $\mathbf{0}$ | $\mathbf{8}$ |
| 2 | SEBI <br> (SCORE <br> S) | 0 | 0 | 0 | 0 | $\mathbf{0}$ | $\mathbf{0}$ |
| 3 | Stock <br> exchange <br> s | 0 | 0 | 0 | 0 | $\mathbf{0}$ | $\mathbf{0}$ |
| 4 | Other <br> Sources <br> (if any) | 0 | 1 | 0 | 1 | $\mathbf{0}$ | $\mathbf{0}$ |
| 5 | Grand <br> Total | 0 | 1 | 0 | 1 | $\mathbf{0}$ |  |

Trend of monthly disposal of complaints

| $\mathbf{S ~ N}$ | Month | Carried forward <br> from previous <br> month | Received during the <br> month | Resolved* | Pending** |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |
| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ |
| 1 | May-2021 | 0 | 0 | 0 | 0 |


| 2 | June-2021 | 0 | 0 | 0 | 0 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 3 | July-2021 | 0 | 0 | 0 | 0 |
| 4 | August- <br> 2021 | 0 | 1 | 1 | 0 |
| 5 | September- <br> 2021 | 0 | 1 | 1 | 0 |
| 6 | October- <br> 2021 | 0 | 0 | 0 | 0 |
| 7 | November- <br> 2021 | 0 | 0 | 0 | 0 |
| 8 | December- <br> 2021 | 0 | 0 | 0 | 0 |
| 9 | January- <br> 2022 | 0 | 1 | 1 | 0 |
| 10 | February- <br> 2022 | 0 | 0 | 0 | 0 |
| 11 | March-2022 | 0 | 3 | 0 | 0 |
| 12 | April-2022 | 0 | 0 | 0 | 0 |
| 13 | May-2022 | 0 | 2 | 0 | 0 |
| 14 | June-2022 | 0 | 0 | 0 | 0 |
| 15 | July -2022 | 0 | 0 | 0 | 0 |
| 16 | August- <br> 2022 | 0 | 0 | 0 | 0 |
| 17 | September- <br> 2022 | 0 | 0 | 0 | 0 |
| 18 | October- <br> 2022 | 0 | 0 | 0 | 0 |
| 19 | November- <br> 2022 | 0 | 0 | 0 | 0 |
| 20 | December- <br> 2022 | 0 | 0 | 0 | 0 |
| 21 | January-23 | 0 | 0 | 0 | 0 |
| 22 | February-23 | 0 | 0 | 0 | 0 |
| 23 | March-23 | 0 | 0 | 0 | 0 |
| 24 | April-23 | 0 | 0 | 0 | 0 |
| 25 | May-23 | 0 | 0 | 0 | 0 |
| 26 | Jun-23 | 0 | 0 | 0 | 0 |
| 27 | Jul-23 | 0 | 0 | 0 | 0 |
| 28 | Aug-23 | 0 | 0 | 0 | 0 |
| 29 | Sep-23 | 0 | 0 | 0 | 0 |
| 30 | Oct-23 | 0 | 0 | 0 | 0 |
| 31 | Nov-23 | 0 | 0 | 0 | 0 |
| 32 | Dec-23 | 0 | 0 | 0 | 0 |
|  | 0 | 0 | 0 | 0 |  |


| 33 | Jan-24 | 0 | 0 | 0 | 0 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 34 | Feb-24 | 0 | 0 | 0 | 0 |
| 35 | Mar-24 | 0 | 0 | 0 | 0 |

## Trend of annual disposal of complaints

| $\mathbf{S ~ N}$ | Month | Carried forward <br> from previous year | Received <br> during the <br> year | Resolved <br> during the <br> year | Pending at the <br> end of the year |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |
| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ |
| 1 | $2017-18$ | 0 | 7 | 7 | 0 |
| 2 | $2018-19$ | 0 | 0 | 0 | 0 |
| 3 | $2019-20$ | 0 | 8 | 8 | 0 |
| 4 | $2020-21$ | 0 | 6 | 6 | 0 |
| 5 | $2021-22$ | 0 | 6 | 6 | 0 |
| 6 | $2022-23$ | 0 | 4 | 4 | 0 |
| 7 | $2023-24$ | 0 | 2 | 2 | 0 |
| 8 | $2024-25$ | 0 | 32 | 32 | 0 |
|  | Grand <br> Total | 0 | 3 |  |  |
|  |  |  |  |  |  |

